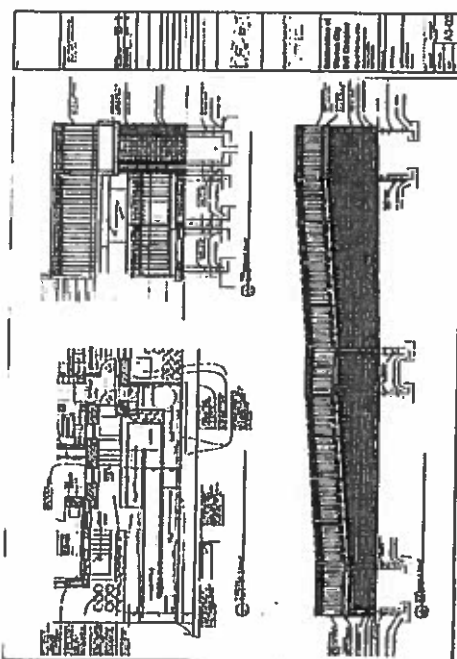


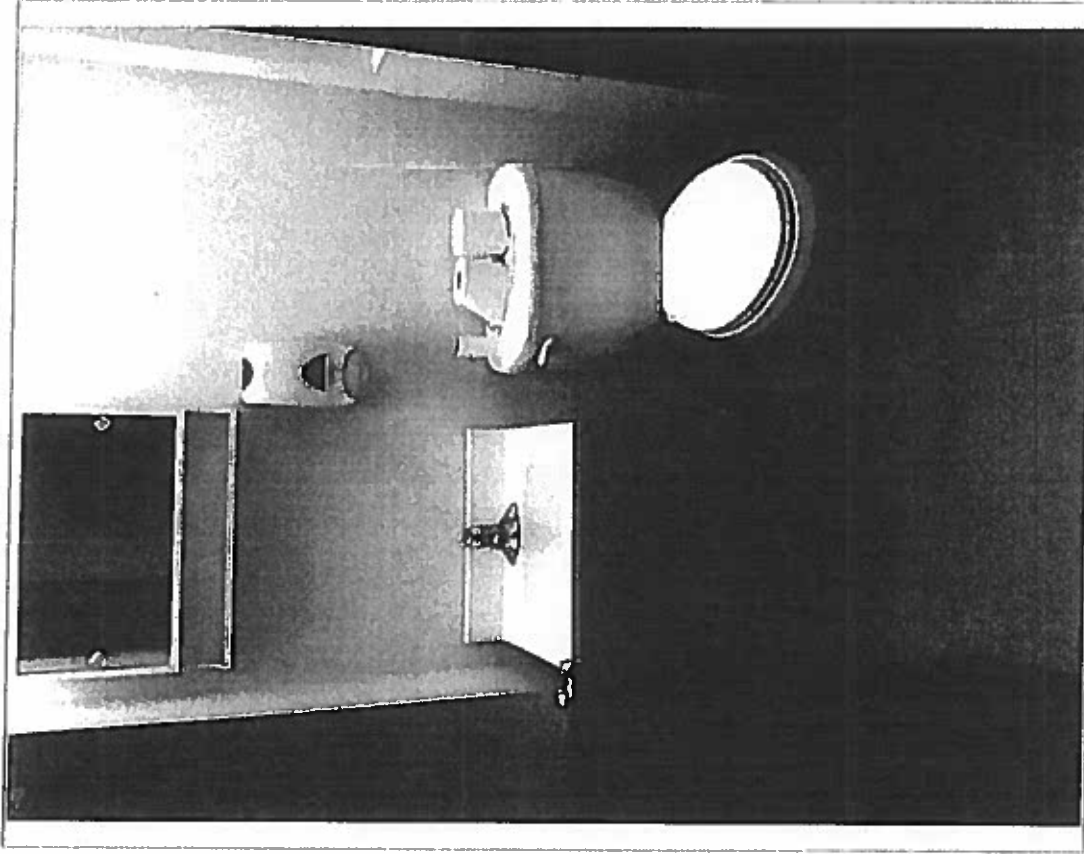
Caption: Image 10 Work will include interior electrical upgrades to more safely distribute power to offices

Date Taken	9/8/17	Credit	City of Warren, Ohio
------------	--------	--------	----------------------



Caption: Image 11 Perkins Mansion: An ADA ramp has been designed for the SW section of the main house. The ramp will be constructed in an architectural style that is compatible with the rest of the building. Modifications to the interior

Date Taken	11/15/18	Credit	Dimit Architects
------------	----------	--------	------------------



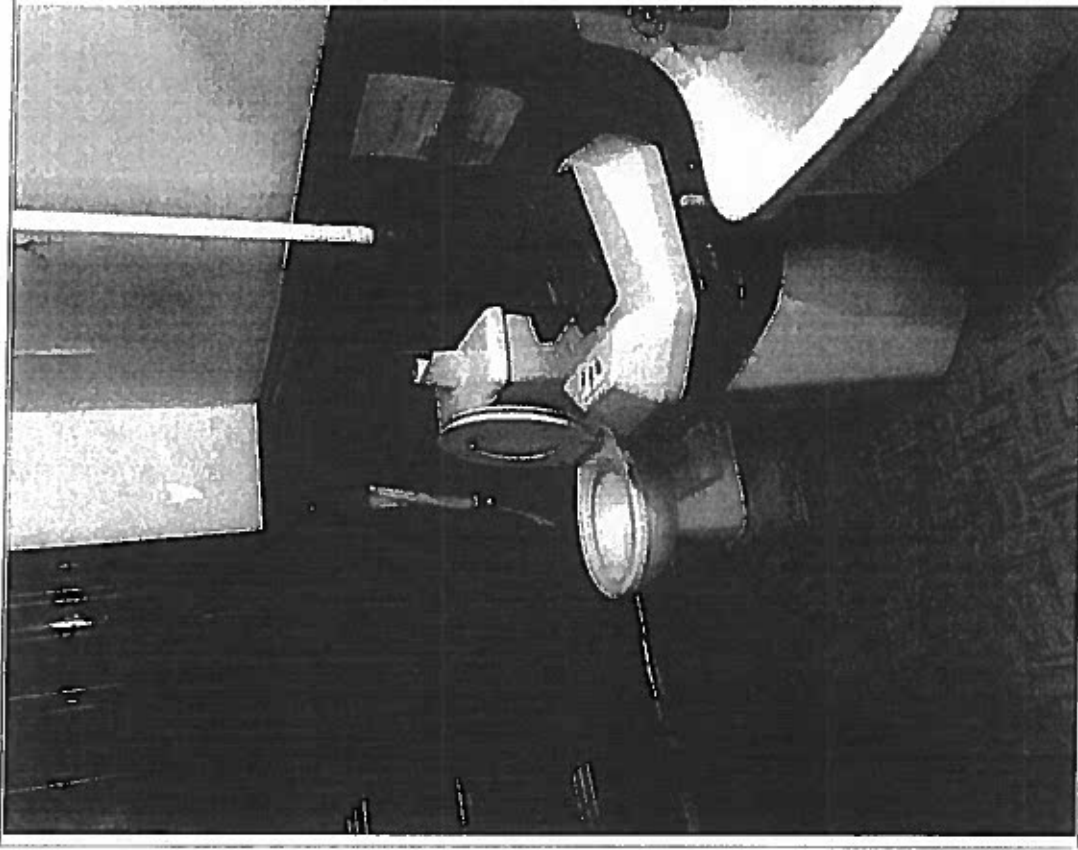
Perkins Business Office: The first floor restroom will be brought into ADA compliance.

Caption: Image 12

Date Taken 9/7/16

Credit

Dimit Architects



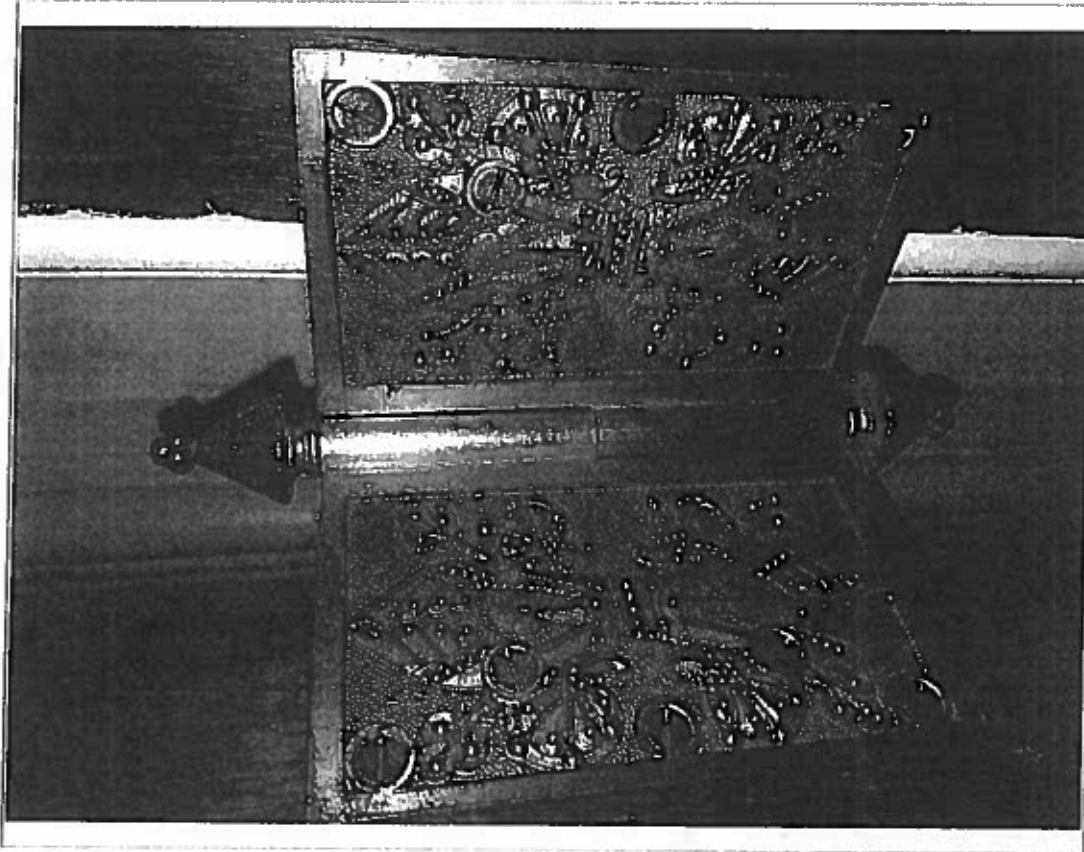
Perkins House: The first floor restroom will be brought into ADA compliance.

Caption: Image 13

Date Taken 9/7/16

Credit

Dimit Architects



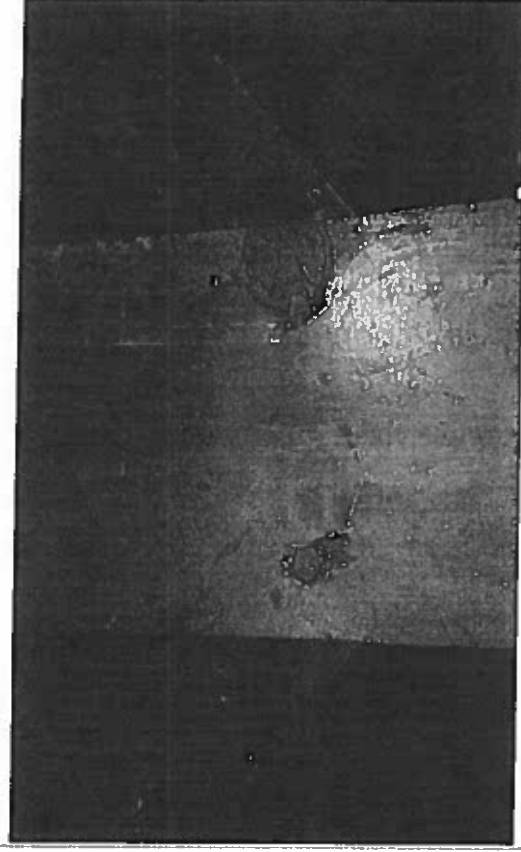
Perkins House: Decorative brass door hinges remain on the doors, which are oversized and original to the property.

Caption: Image 14

Date Taken 11/28/17

Credit

City of Warren, Ohio



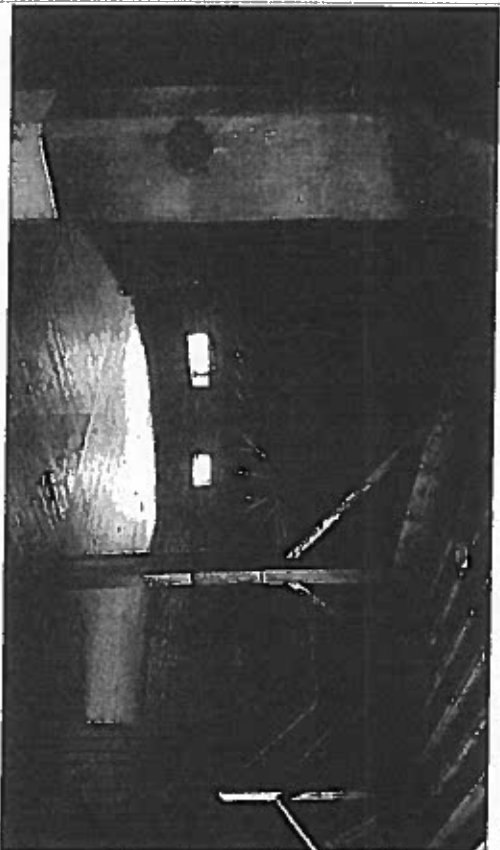
Perkins House: The third floor shows the traditional post and beam joinery method used to frame home.

Caption: Image 15

Date Taken 11/28/17

Credit

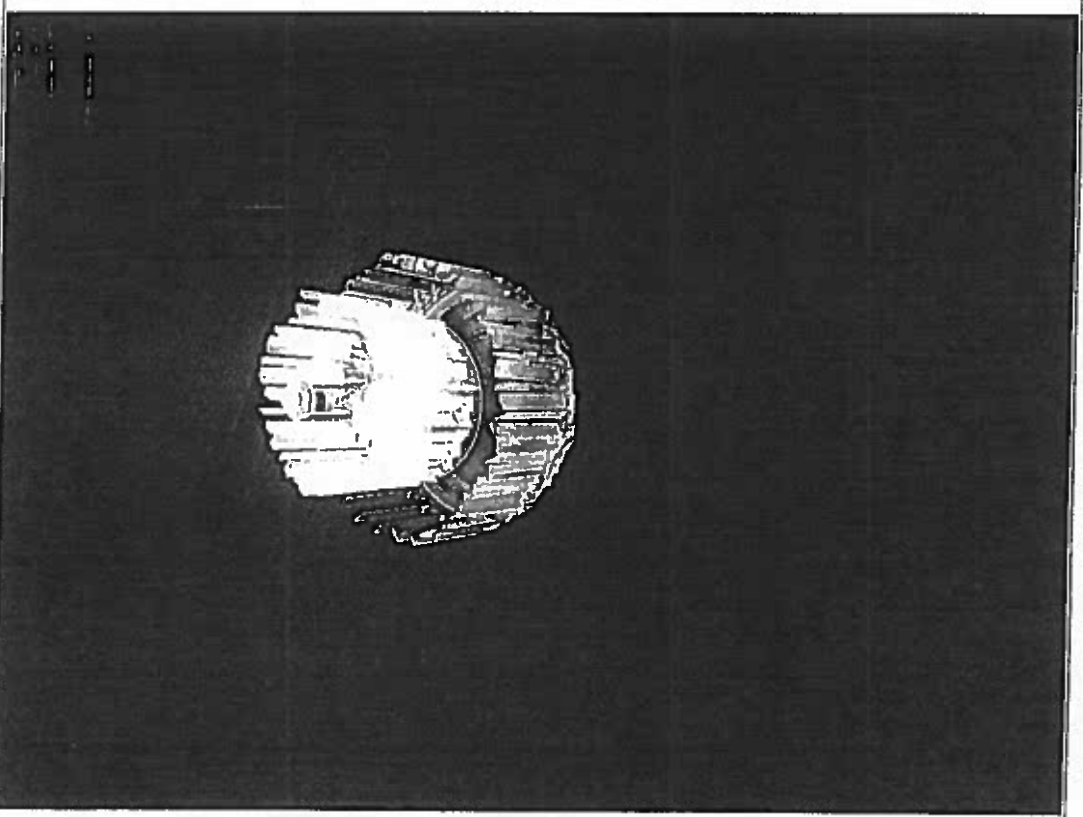
City of Warren, Ohio



Perkins House: The third floor ballroom still has the original hand stand and coat room.

Date Taken 11/28/17

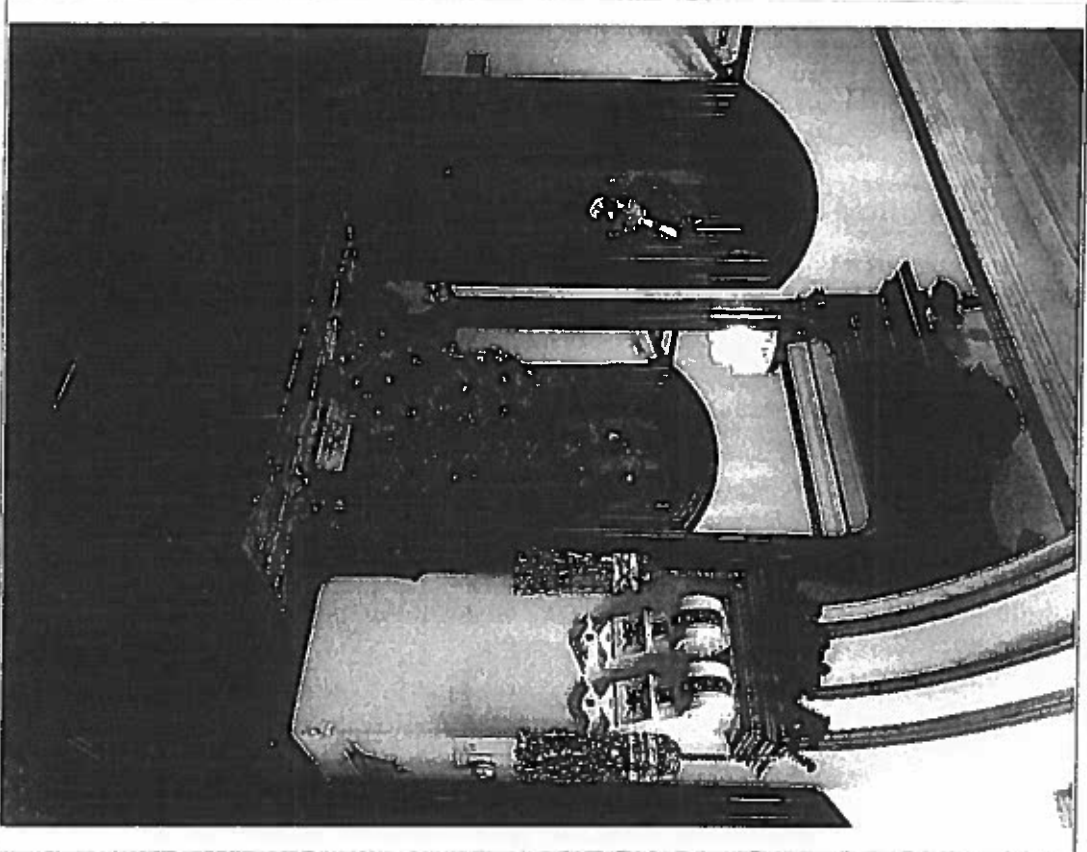
Credit City of Warren, Ohio



Perkins House: The home features a hand-cut crystal chandelier.

Date Taken 11/28/17

Credit City of Warren, Ohio



Caption: Image 18

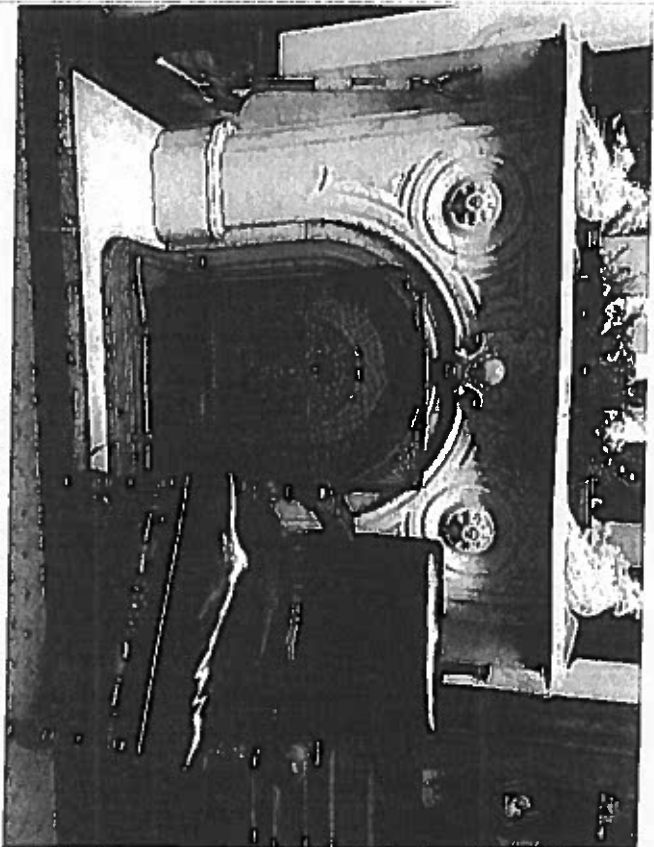
Perkins House: Main Hallway on first floor.

Date Taken

11/28/17

Credit

The City of Warren, Ohio



Caption: Image 19

Perkins House: The home features several ornate marble and soap stone fireplaces and a number of original items such as walnut and black leather chair shown above.

Date Taken

11/28/17

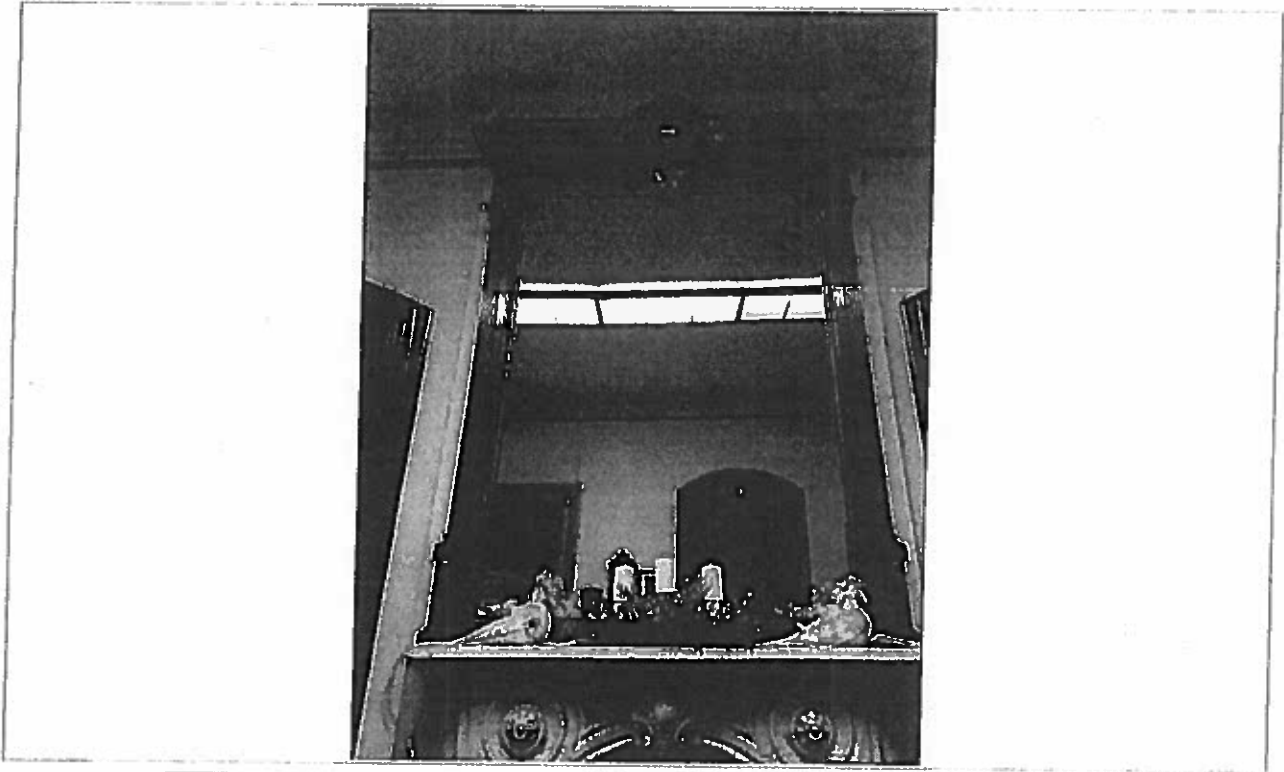
Credit

The City of Warren, Ohio

Project Images Worksheet (3)

To add photographs, simply click on the space for each image and select the photo to attach from your files. All photos must have a caption and photo credit. Photos should be of good quality. All application materials, including photographs, become the property of the National Park Service and may be reproduced by NPS or its partner organizations without permission; appropriate credit will be given for any such use.

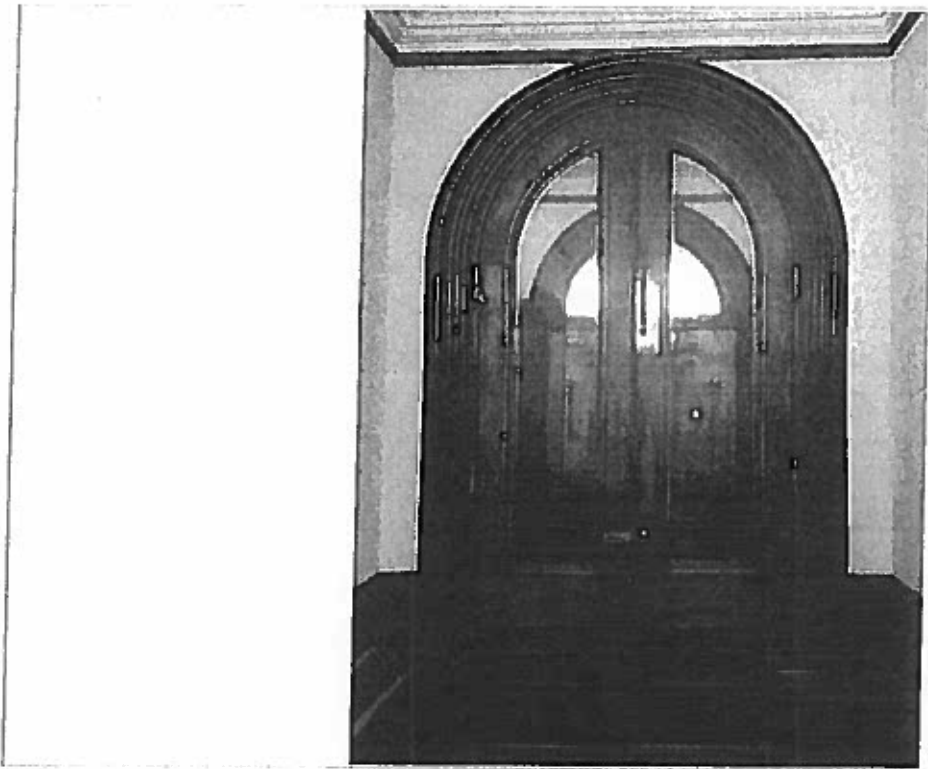
- Please ensure you include one current photo per elevation as well as one current overall photo at a minimum.
- Photos should show current conditions and immediate threat. Include photos showing representative examples of damaged resources
- Color or black and white photos are both accepted
- Photographs should be of the community and the historic site, museum property, downtown, or significant resource involved in the project.



Caption: Image 1 Perkins House: One of several original fireplaces and mirrors.

Date Taken 11/28/17

Credit City of Warren, Ohio



Caption: Image 2

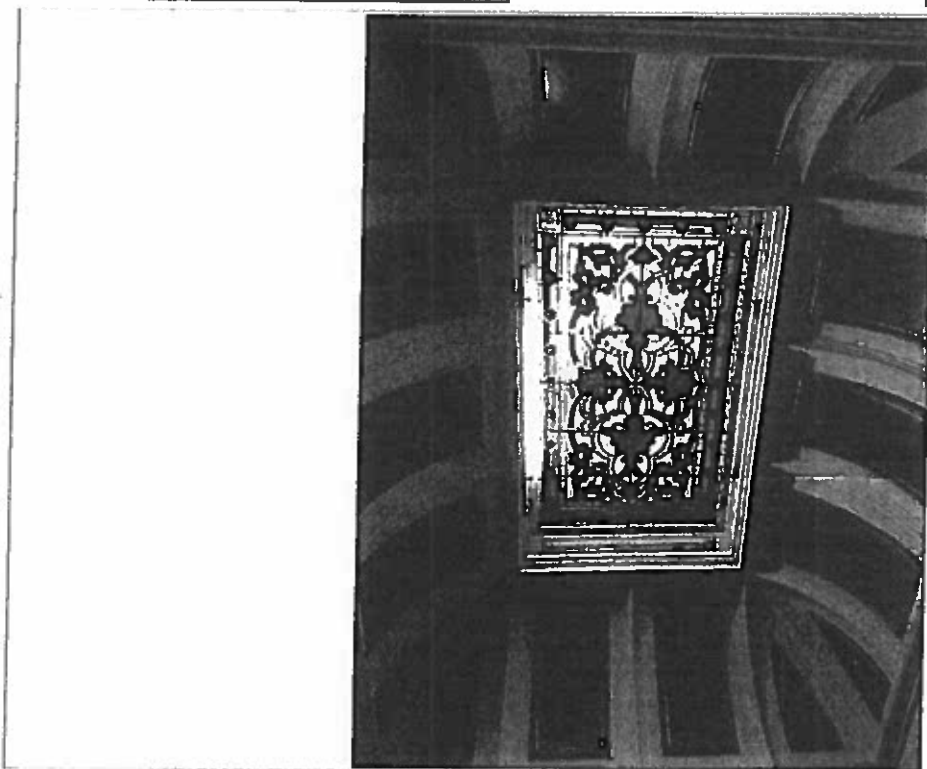
Perkins House: Doors leading to the front entrance. The property features walnut trim, oversized doors, and windows with wooden shutters and ornate valances.

Date Taken

11/28/17

Credit

City of Warren, Ohio



Caption: Image 3

Perkins House: Located above the main staircase, a unique feature is the attractive skylight, a bright stained glass window that is surrounded on the ceiling by oil paintings.

Date Taken

11/28/17

Credit

City of Warren, Ohio



Caption: Image 4

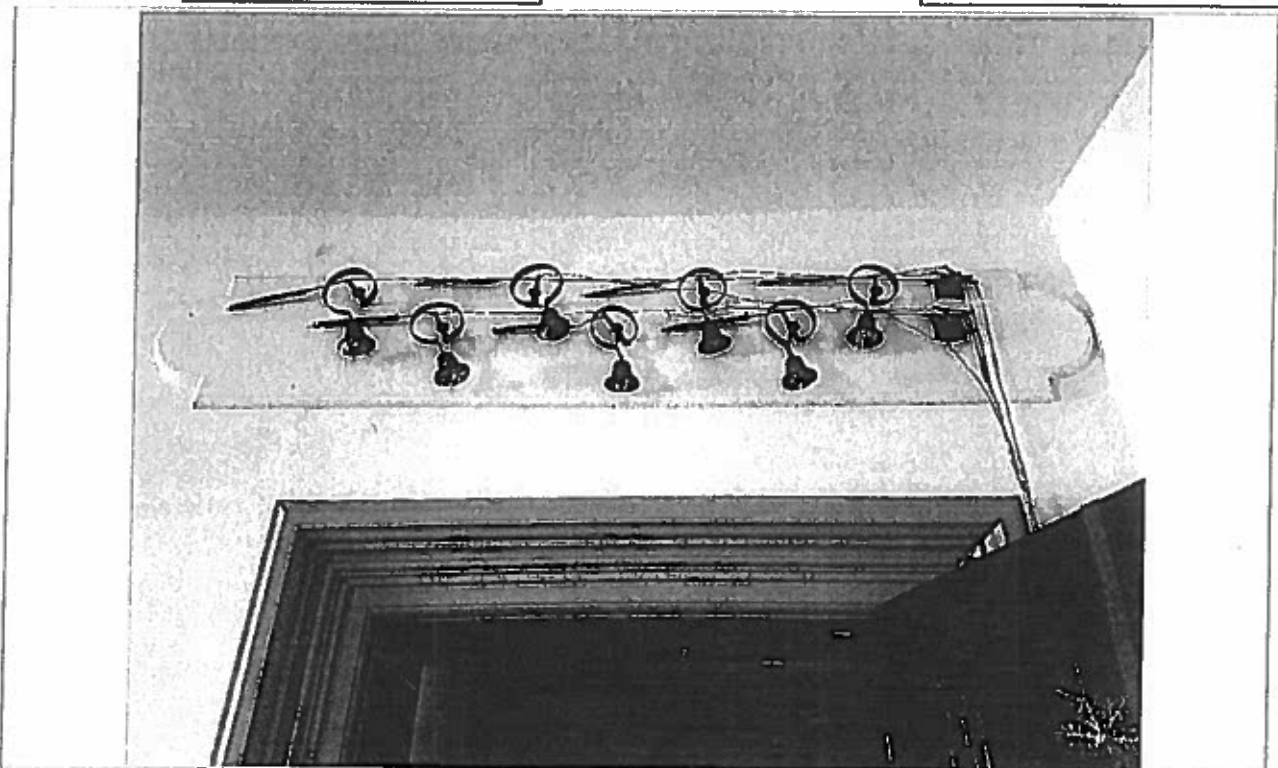
The kitchen features a wood burning cast-iron Warren range, a drying room, a maid's pantry and a dumbwaiter.

Date Taken

11/28/17

Credit

City of Warren, Ohio



Caption: Image 5

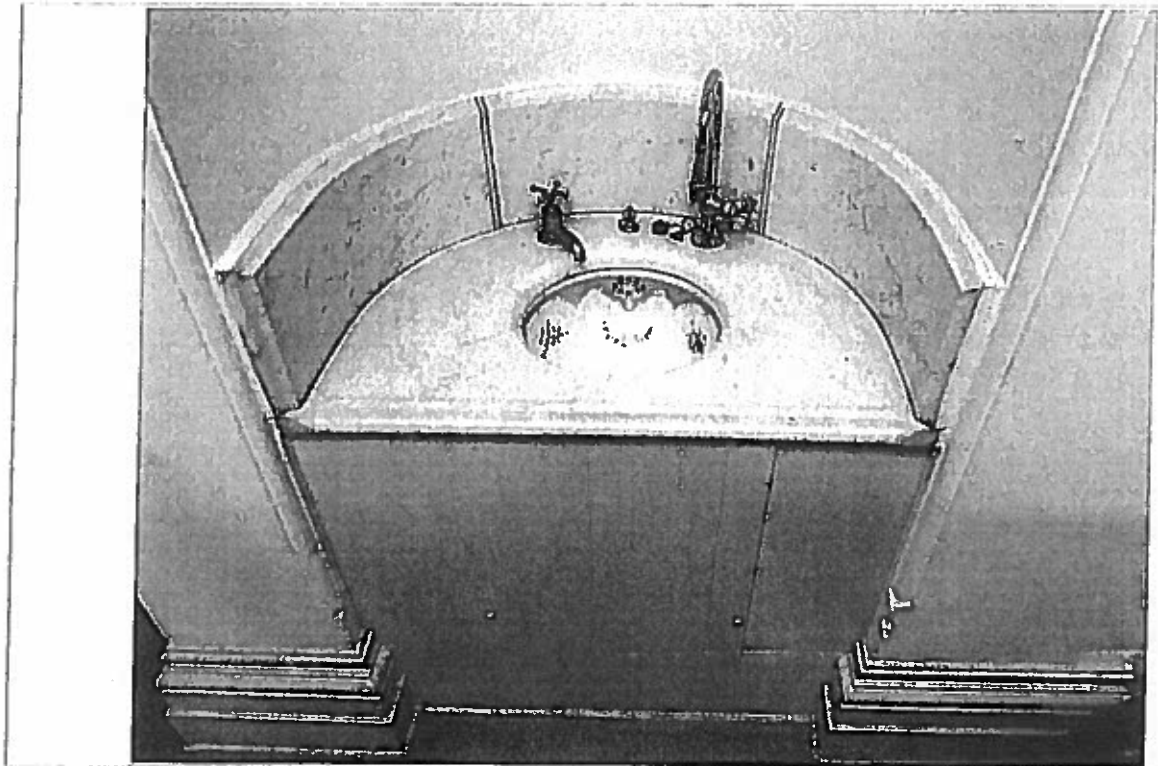
Several rooms have a hand pull that connects to a servants bell system in the kitchen.

Date Taken

11/28/17

Credit

City of Warren, Ohio



Caption: Image 6

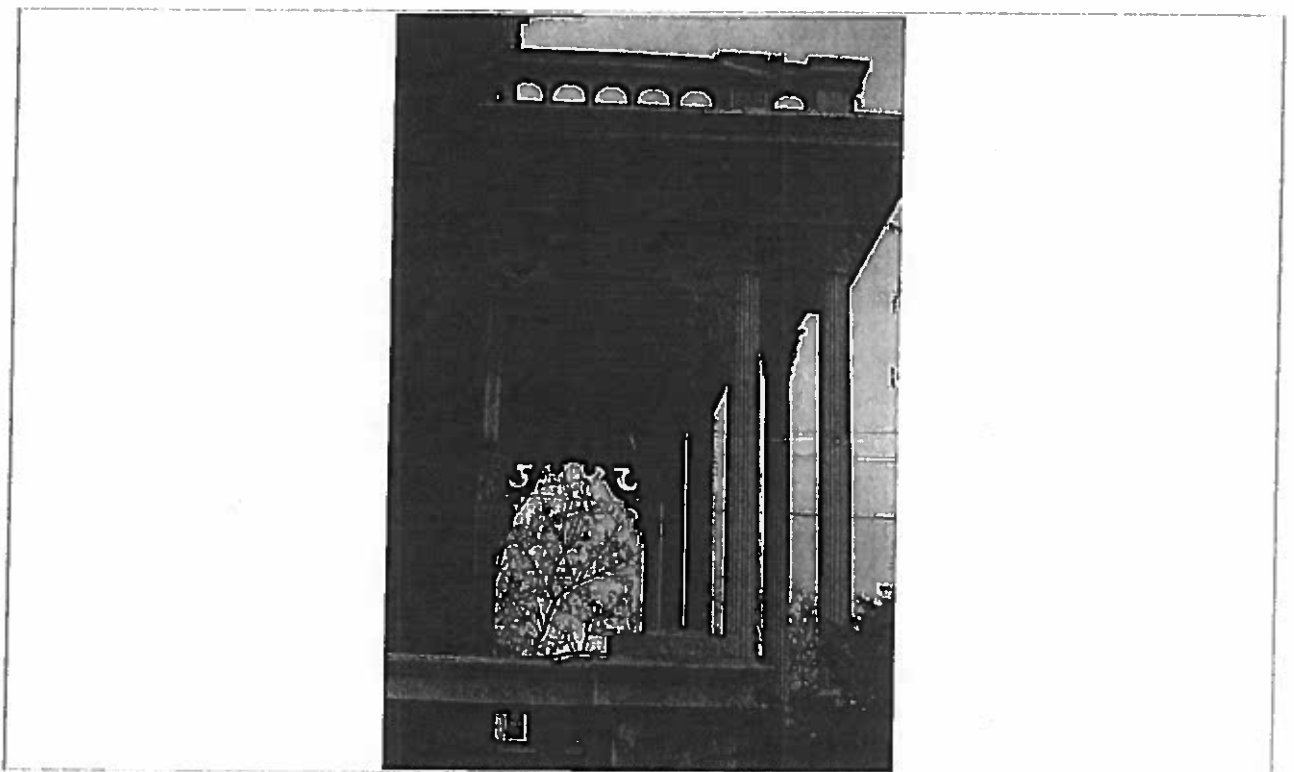
Perkins House: The second floor bed rooms feature stationary wash bowls.

Date Taken

11/28/17

Credit

City of Warren, Ohio



Caption: Image 7

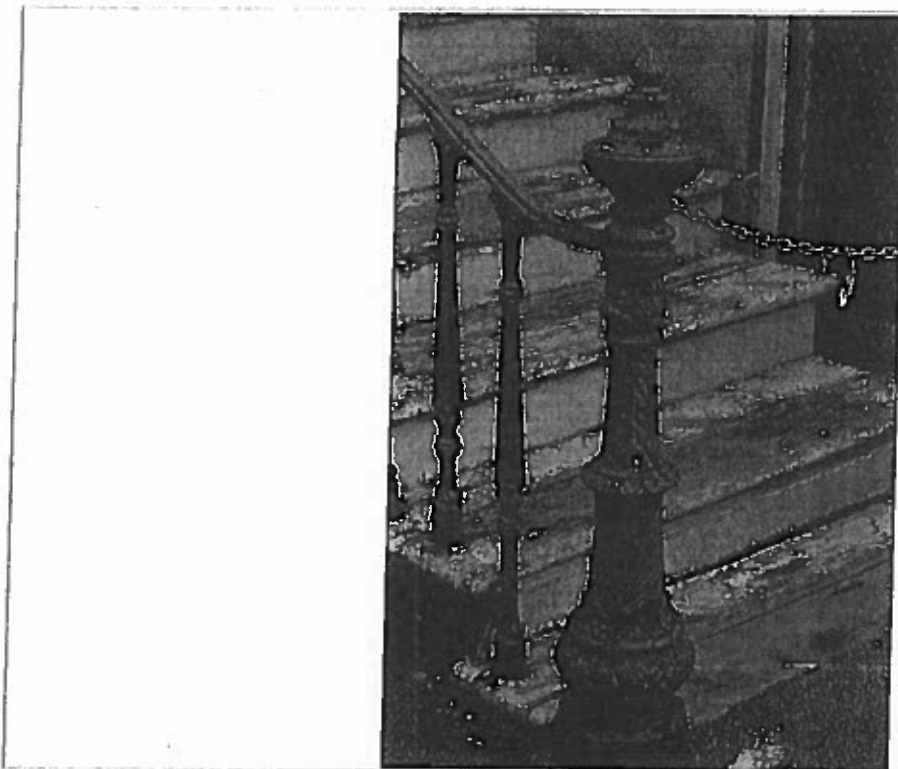
Perkins House: The Mansion's front porch is designed in the ornate Victorian-Italianate style.

Date Taken

3/8/17

Credit

Dimit Architects



Caption: Image 8

The property has ornate metal handrails whose restoration are included in the project scope.

Date Taken

3/8/17

Credit

Dimit Architects



Caption: Image 9

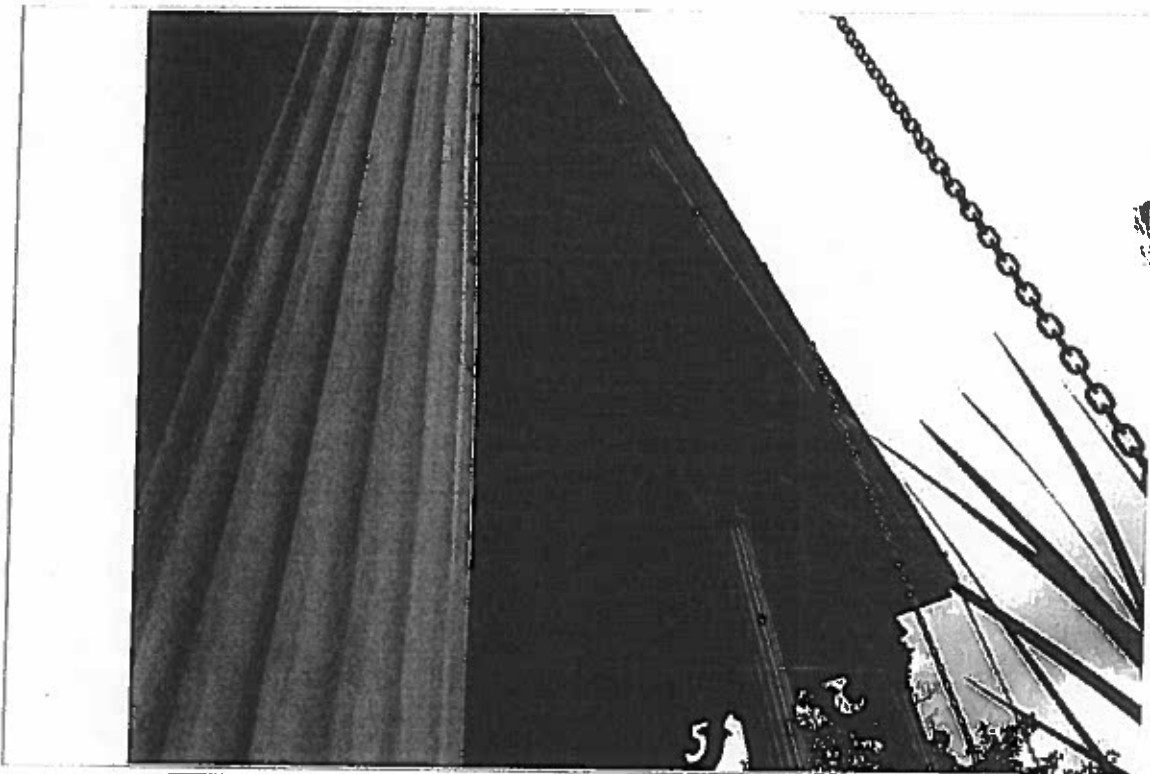
The Perkins property, in the Victorian-Italianate architectural style, boasts many ornamental features within and without the three buildings.

Date Taken

3/8/17

Credit

Dimit Architects



Caption: Image 10

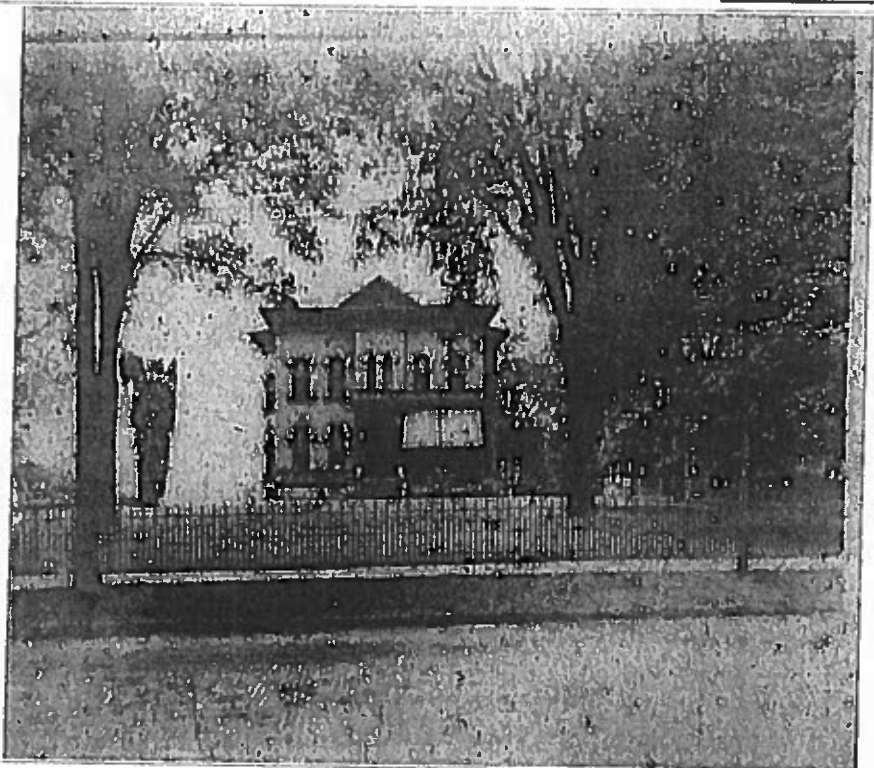
Perkins House: Columns grace the front porch of the twenty room

Date Taken

9/8/17

Credit

City of Warren, Ohio



Caption: Image 11

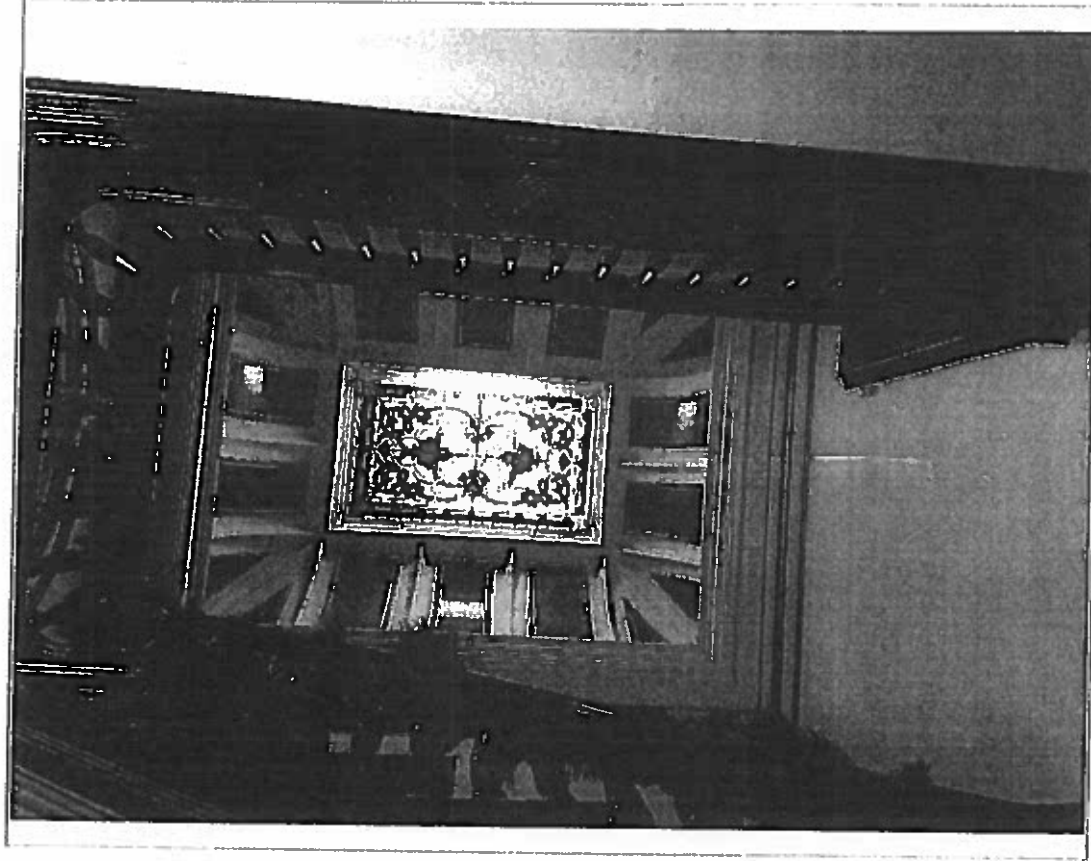
Warren, Ohio's Historic Perkins Mansion
(date unknown)

Date Taken

9/7/16

Credit

Dimit Architects



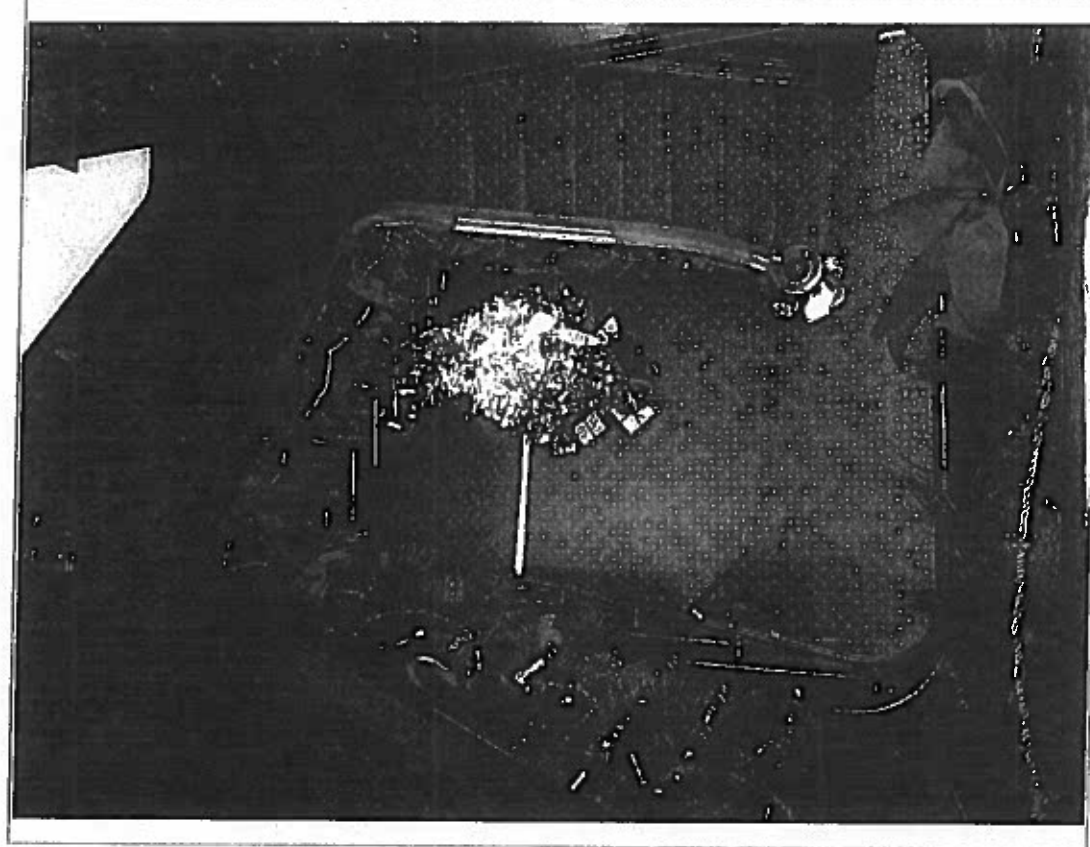
Caption: Image 12

Perkins House: The home has two staircases, the main staircase at the front of the house and the rear staircase near the side porfco entrance. The front staircase (shown) is a free standing staircase with no visible means of support and has a hand carved black walnut banister;

Date Taken 11/28/17

Credit

City of Warren, Ohio



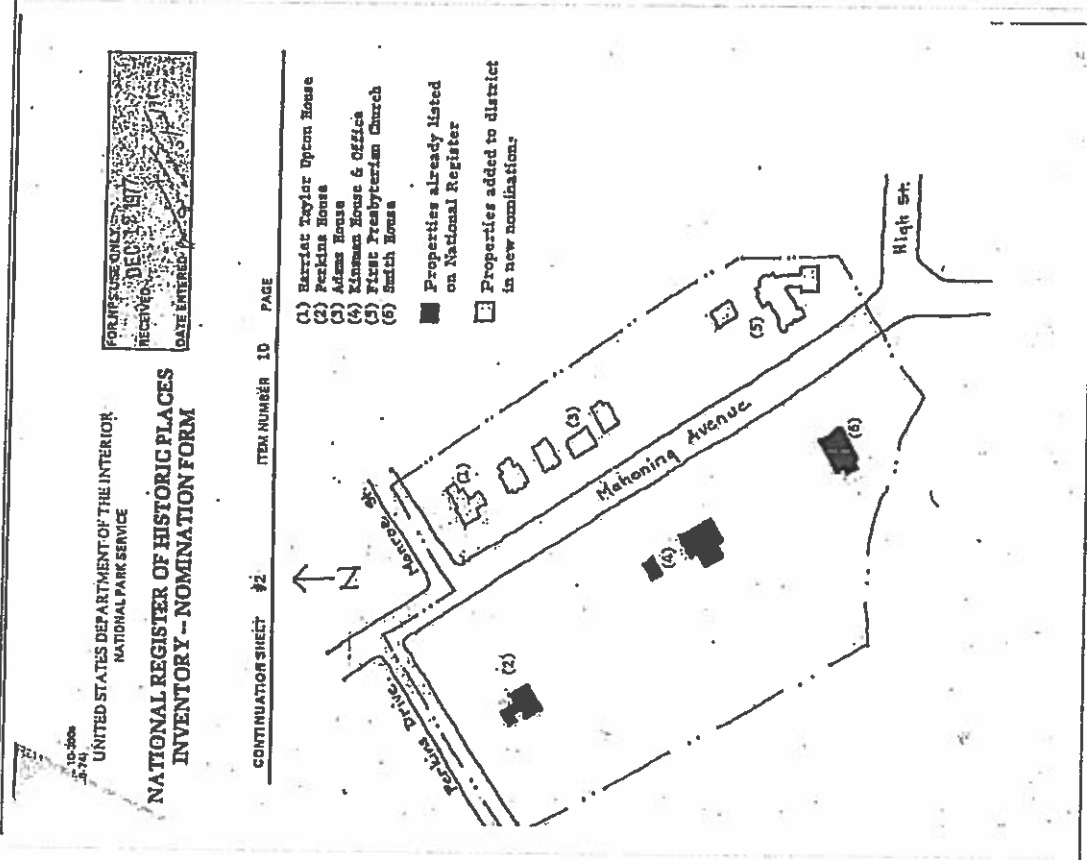
Caption: Image 13

Perkins House: The front staircase.

Date Taken 11/28/17

Credit

City of Warren, Ohio

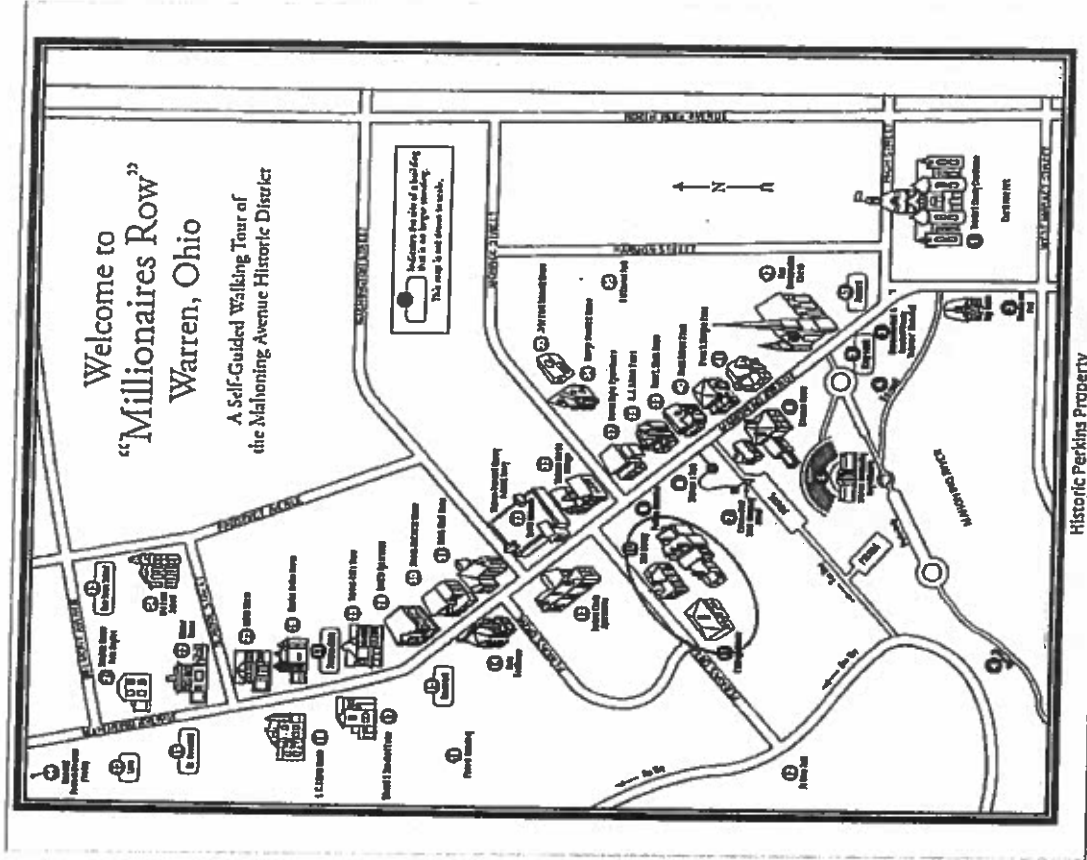


Caption: Image 14

The Mahoning Avenue National Historic District is a part of a larger local historic area also known as "Millionaire's Row".

Date Taken 11/29/18

Credit City of Warren, Ohio

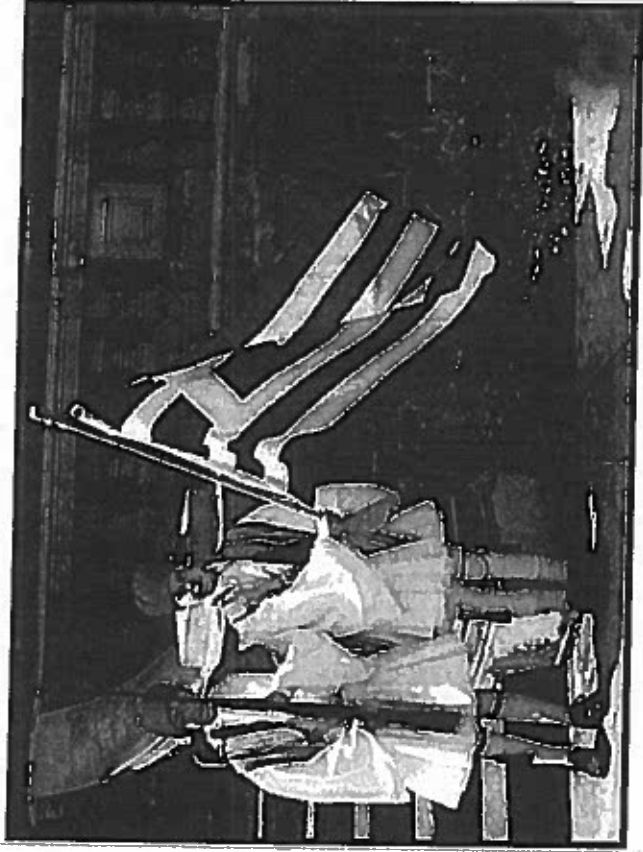


Caption: Image 15

The Historic Perkins Property is situated on a six acre campus. The estate is a vital component of the National Mahoning Avenue Historic District, Millionaire's Row, and downtown Warren.

Date Taken 11/23/17

Credit Trumbull County Tourism Bureau



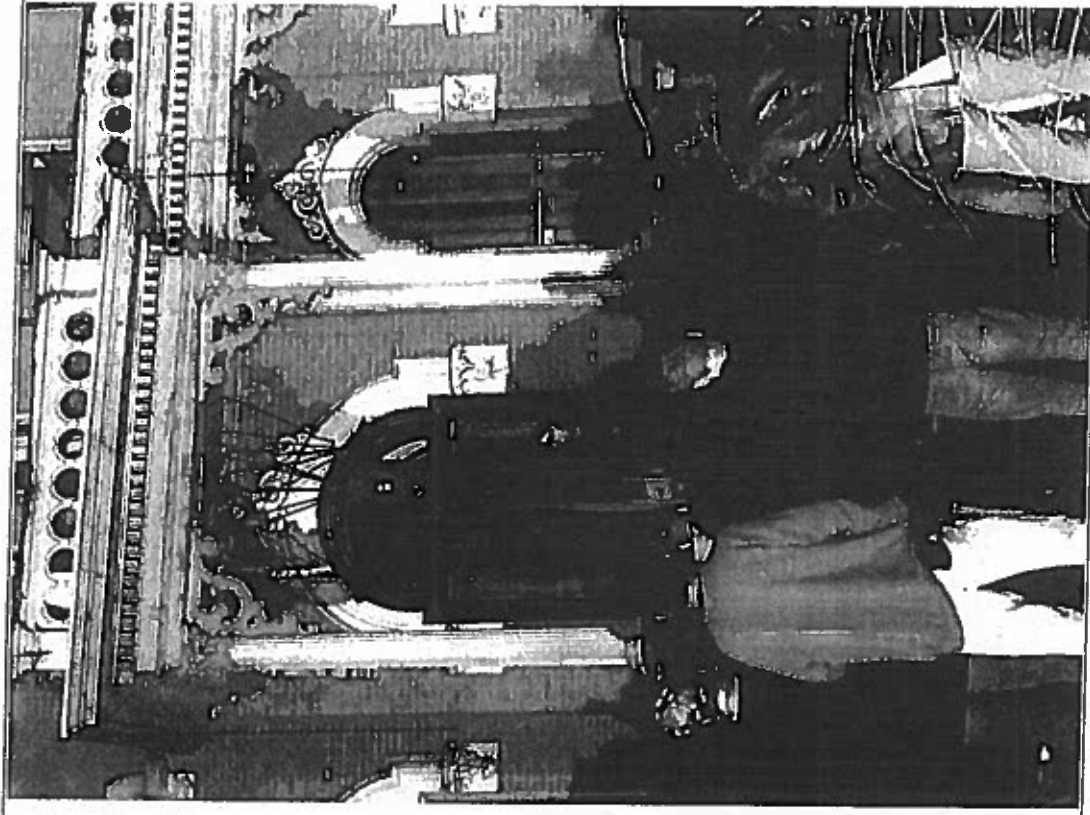
Caption: Image 16

The Perkins House and property hosts many civic events throughout the year including marriage ceremonies, various tours, and other public occasions such as annual Greek Independence Day activities.

Date Taken 3/2/08

Credit

The Youngstown Vindicator



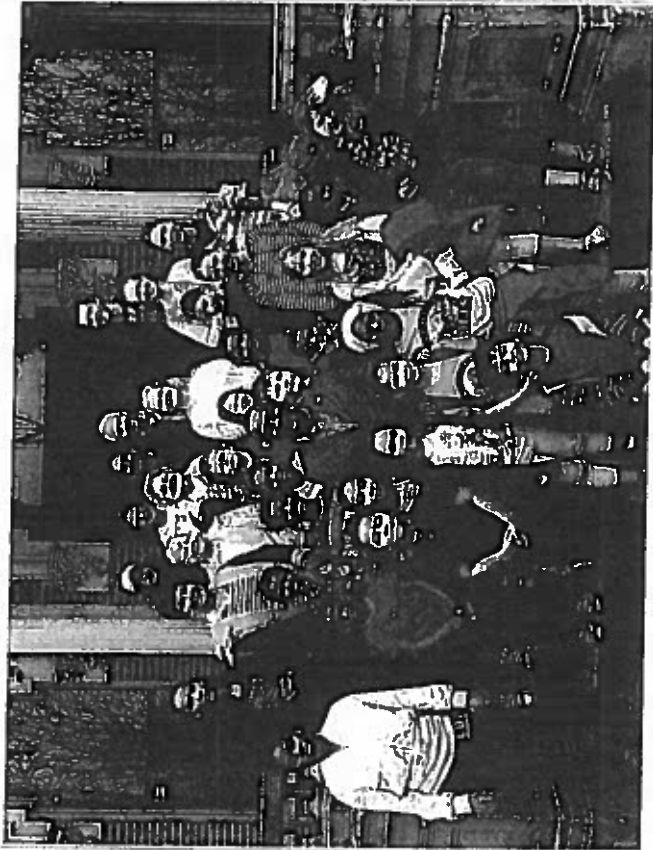
Caption: Image 17

The property hosts events throughout the year. One such is the annual Ghost Walk that has used the Perkins Business Office and Mansion for 30 years. Ghost Walk is a one-mile guided walking tour that has stops along Warren's "Millionaire's Row" where actors portray the restless spirits of actual people who once lived in Trumbull County.

Date Taken 10/21/17

Credit

Trumbull County Fine Arts Council



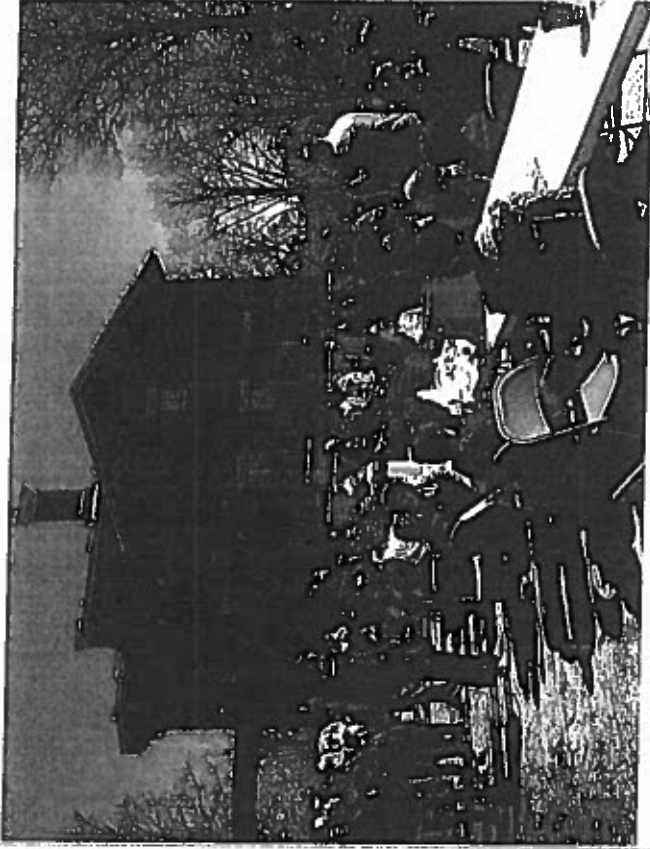
Caption: Image 18

Hundreds of school children attend free guided tours of the Perkins property every year. Tours are led by volunteer docents provided by the Trumbull County Historical Society and the Harriet Taylor Upton Association. Current Mayor William D. Franklin is shown with a Warren City School PK-8 students.

Date Taken 4/25/17

Credit

Dimit Architects



Caption: Image 19

Perkins Campus: Annual City Easter Egg Hunt

Date Taken 4/15/17

Credit

City of Warren, Ohio

FY 2017 DE MINIMIS INDIRECT COST RATE CERTIFICATION	
Grantee Name: City of Warren, Ohio	
EIN: 34-6002974	DUNS: 010848216
Effective Fiscal Year(s): 2019, 2020, 2021	
Name of Authorized Chief Financial Officer (or equivalent): Vincent S. Flask, Auditor	
Address: 391 Mahoning Ave NW, Warren, Ohio 44481-4604	
Email: vflask1@warren.org	Phone Number: 330-841-2577

CERTIFICATION OF ELIGIBILITY:

I, the undersigned, certify that our organization is eligible to use the 10% de minimis indirect cost rate as we have:

1. Never received a negotiated indirect cost rate.
2. Received less than \$35 million in direct federal funding for the fiscal year requested and each fiscal year thereafter.

CERTIFICATION OF FINANCIAL MANAGEMENT SYSTEM:

I, the undersigned, certify that our organization has a financial management system that accumulates and segregates direct costs from indirect costs and by project/activity, that are allowable in accordance with Title 2 Code of Federal Regulations Part 200 (2 CFR Part 200).

I certify that our organization's financial management system has the following attributes:

- Account numbers identifying allowable direct, indirect, and unallowable cost accounts.
- Ability to accumulate and segregate allowable direct, indirect and unallowable costs into different cost accounts.
- Ability to accumulate and segregate allowable direct costs by project, funding source, and type of cost (e.g.: labor, consulting, pass-thru, or other).
- Internal controls to maintain integrity of financial management system.
- Ability to consistently record and report costs as described in 2 CFR 200.403.
- Ability to ensure costs billed are in compliance with 2 CFR Part 200.
- Ability to ensure costs billed reconcile to general ledgers and job costing ledgers.
- Ability to ensure costs are in compliance with contract terms and federal and state requirements.

I also certify that the types of records that are used to support the existence of these attributes include the following:

- General ledger and job costing ledgers.
- Subsidiary general ledgers.
- Chart of accounts.
- Audited financial statements.
- Time keeping records.
- Documents supporting actual costs (e.g. invoices, canceled checks).
- Accounting policy and procedure manuals specific to the organization

Finally, I understand:

1. The de minimis rate of 10% is to be applied to modified total direct costs which means all direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel and up to the first \$25,000 of each subaward or subcontract (regardless of the period of performance of the subawards under the award). Modified total direct cost excludes equipment, capital expenditures, rental costs, and the portion of each subaward in excess of \$25,000. Other items may only be excluded when necessary to avoid a serious inequity in the distribution of indirect costs, and with the approval of the cognizant agency for indirect costs.
2. Costs must be consistently charged as either indirect or direct but may not be double charged or inconsistently charged as both.
3. The proper use and application of the de minimis rate is the responsibility of our organization and the National Park Service and its representatives may perform an audit on our organization to ensure compliance with 2 CFR Part 200. If it is determined we are inconsistently charging costs, or not in compliance with 2 CFR Part 200 we may be required to reimburse the Federal government for any identified overbillings.
4. Our organization's schedule of expenditures of federal awards must include a note on whether it elected to use the 10% de minimis cost rate in accordance with 2 CFR 200 Part 200.510(b)(6).

I declare that the foregoing is true and correct.

Signature of Authorized CFO (or equivalent): Vincent S. Flask Date: 11-16-18

Print Name & Title: VINCENT S. FLASK AUDITOR

ATTACHMENTS FORM

Instructions: On this form, you will attach the various files that make up your grant application. Please consult with the appropriate Agency Guidelines for more information about each needed file. Please remember that any files you attach must be in the document format and named as specified in the Guidelines.

Important: Please attach your files in the proper sequence. See the appropriate Agency Guidelines for details.

1) Please attach Attachment 1	1234-Perkins SAT Project Desc	Add Attachment	Delete Attachment	View Attachment
2) Please attach Attachment 2	1235-Perkins Budget Justifica	Add Attachment	Delete Attachment	View Attachment
3) Please attach Attachment 3	1236-Perkins Project Images v	Add Attachment	Delete Attachment	View Attachment
4) Please attach Attachment 4	1237-Perkins Project Images v	Add Attachment	Delete Attachment	View Attachment
5) Please attach Attachment 5	1238-Perkins Project Images v	Add Attachment	Delete Attachment	View Attachment
6) Please attach Attachment 6	1239-City of Warren, Ohio De	Add Attachment	Delete Attachment	View Attachment
7) Please attach Attachment 7	1240-Perkins Statement of Ove	Add Attachment	Delete Attachment	View Attachment
8) Please attach Attachment 8		Add Attachment	Delete Attachment	View Attachment
9) Please attach Attachment 9		Add Attachment	Delete Attachment	View Attachment
10) Please attach Attachment 10		Add Attachment	Delete Attachment	View Attachment
11) Please attach Attachment 11		Add Attachment	Delete Attachment	View Attachment
12) Please attach Attachment 12		Add Attachment	Delete Attachment	View Attachment
13) Please attach Attachment 13		Add Attachment	Delete Attachment	View Attachment
14) Please attach Attachment 14		Add Attachment	Delete Attachment	View Attachment
15) Please attach Attachment 15		Add Attachment	Delete Attachment	View Attachment

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: 11/29/2018	4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: <input type="text"/>		5b. Federal Award Identifier: <input type="text"/>
State Use Only:		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
8. APPLICANT INFORMATION:		
* a. Legal Name: City of Warren, Ohio		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 34-6002974		* c. Organizational DUNS: 0108482160000
d. Address:		
* Street1:	391 Mahoning Ave NW	
Street2:	<input type="text"/>	
* City:	Warren	
County/Parish:	Trumbull	
* State:	OH: Ohio	
Province:	<input type="text"/>	
* Country:	USA: UNITED STATES	
* Zip / Postal Code:	44481-4604	
e. Organizational Unit:		
Department Name: Warren City Engineering		Division Name: Grants & Projects
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix:	Mr.	* First Name: Paul
Middle Name:	<input type="text"/>	
* Last Name:	Makosky	
Suffix:	<input type="text"/>	
Title:	Director of Engineering	
Organizational Affiliation: City of Warren, Ohio		
* Telephone Number:	330-841-2973	Fax Number: 330-841-2614
* Email:	pmakosky@warren.org	

Application for Federal Assistance SF-424

* 9. Type of Applicant 1: Select Applicant Type:

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

* 10. Name of Federal Agency:

National Park Service

11. Catalog of Federal Domestic Assistance Number:

15.929

CFDA Title:

Save America's Treasures

* 12. Funding Opportunity Number:

P18AS00728

* Title:

Save America's Treasures - Preservation

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

* 15. Descriptive Title of Applicant's Project:

Perkins Historical Property Restoration and Improvement Project.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="500,000.00"/>
* b. Applicant	<input type="text" value="1,100,000.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="1,600,000.00"/>

* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

- ☐ a. This application was made available to the State under the Executive Order 12372 Process for review on .
- ☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- ☒ c. Program is not covered by E.O. 12372.

* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

☐ Yes ☒ No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. "By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

☒ ** I AGREE

** The list of certifications and assurances, or an Internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix:

* First Name:

Middle Name:

* Last Name:

Suffix:

* Title:

* Telephone Number:

Fax Number:

* Email:

* Signature of Authorized Representative:

* Date Signed:

BUDGET INFORMATION - Non-Construction Programs

OMB Number: 4040-0006
Expiration Date: 01/31/2019

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. Save America's Treasures Preservation Grant	15.929	\$	\$	500,000.00	1,100,000.00	1,600,000.00
2.						
3.						
4.						
5. Totals		\$	\$	500,000.00	1,100,000.00	1,600,000.00

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1)	(2)	(3)	(4)	
	Save America's Treasures Preservation Grant	N/A			
a. Personnel	\$	\$	\$	\$	\$
b. Fringe Benefits					
c. Travel					
d. Equipment					
e. Supplies					
f. Contractual	125,000.00				125,000.00
g. Construction	975,000.00	500,000.00			1,475,000.00
h. Other					
i. Total Direct Charges (sum of 6a-6h)	1,100,000.00	500,000.00			1,600,000.00
j. Indirect Charges					
k. TOTALS (sum of 6i and 6j)	\$ 1,100,000.00	\$ 500,000.00	\$	\$	\$ 1,600,000.00
7. Program Income	\$	\$	\$	\$	\$

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Prescribed by OMB (Circular A -102) Page 1A

SECTION C - NON-FEDERAL RESOURCES						
(a) Grant Program		(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS	
8.	Save America's Treasures Preservation Grant	\$ 1,100,000.00	\$	\$	\$ 1,100,000.00	
9.						
10.						
11.						
12. TOTAL (sum of lines 8-11)		\$ 1,100,000.00	\$	\$	\$ 1,100,000.00	
SECTION D - FORECASTED CASH NEEDS						
		Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13.	Federal	\$ 500,000.00	\$ 50,000.00	\$ 75,000.00	\$ 175,000.00	\$ 200,000.00
14.	Non-Federal	\$ 1,100,000.00	\$ 110,000.00	\$ 165,000.00	\$ 385,000.00	\$ 440,000.00
15. TOTAL (sum of lines 13 and 14)		\$ 1,600,000.00	\$ 160,000.00	\$ 240,000.00	\$ 560,000.00	\$ 640,000.00
SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT						
(a) Grant Program						
FUTURE FUNDING PERIODS (YEARS)						
		(b) First	(c) Second	(d) Third	(e) Fourth	
16.	Save America's Treasures Preservation Grant	\$	\$	\$	\$	
17.						
18.						
19.						
20. TOTAL (sum of lines 16 - 19)		\$	\$	\$	\$	
SECTION F - OTHER BUDGET INFORMATION						
21. Direct Charges:			22. Indirect Charges:			
23. Remarks:		With the exception of hiring a Construction Manager paid out of the local match, no indirect costs, personnel, fringe benefits, consultant fees, travel, or other costs will be charged against the project.				

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ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-846) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.

10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.

11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).

12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.

13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).

14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.

15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.

16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.

17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."

18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.
- | | |
|---|---------------------------------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | TITLE |
| Christopher J Stephenson | Director of Public Service and Safety |
| APPLICANT ORGANIZATION | DATE SUBMITTED |
| City of Warren, Ohio | 11/29/2018 |
- Standard Form 424B (Rev. 7-97) Back
- Tracking Number:GRANT12752051

Funding Opportunity Number:P18AS00728 Received Date:Nov 29, 2018 02:32:03 PM EST

BUDGET INFORMATION - Construction Programs			
NOTE: Certain Federal assistance programs require additional computations to arrive at the Federal share of project costs eligible for participation. If such is the case, you will be notified.			
COST CLASSIFICATION	a. Total Cost	b. Costs Not Allowable for Participation	c. Total Allowable Costs (Columns a-b)
1. Administrative and legal expenses	\$	\$	\$
2. Land, structures, rights-of-way, appraisals, etc.	\$	\$	\$
3. Relocation expenses and payments	\$	\$	\$
4. Architectural and engineering fees	\$	\$	\$
5. Other architectural and engineering fees	\$	\$	\$
6. Project inspection fees	\$	\$	\$
7. Site work	\$	\$	\$
8. Demolition and removal	\$ 55,000.00	\$	\$ 55,000.00
9. Construction	\$ 445,000.00	\$	\$ 445,000.00
10. Equipment	\$	\$	\$
11. Miscellaneous	\$	\$	\$
12. SUBTOTAL (sum of lines 1-11)	\$ 500,000.00	\$	\$ 500,000.00
13. Contingencies	\$	\$	\$
14. SUBTOTAL	\$ 500,000.00	\$	\$ 500,000.00
15. Project (program) income	\$	\$	\$
16. TOTAL PROJECT COSTS (subtract #15 from #14)	\$ 500,000.00	\$	\$ 500,000.00
FEDERAL FUNDING			
17. Federal assistance requested, calculate as follows: (Consult Federal agency for Federal percentage share.) Enter the resulting Federal share.			
Enter eligible costs from line 16c		Multiply X	%
			\$ 0.00

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 01/31/2019

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
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17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
Christopher J Stephenson	Director of Public Service and Safety
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Warren, Ohio	11/29/2018


SF-424D (Rev. 7-97) Back

Complete this form to disclose lobbying activities pursuant to 31 U.S.C.1352

1. * Type of Federal Action: <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. * Status of Federal Action: <input checked="" type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. * Report Type: <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> SubAwardee * Name <input style="width: 400px;" type="text" value="City of Warren, Ohio"/> * Street 1 <input style="width: 300px;" type="text" value="391 Mahoning Ave NW"/> Street 2 <input style="width: 200px;" type="text"/> * City <input style="width: 150px;" type="text" value="Warren"/> State <input style="width: 150px;" type="text" value="OH: Ohio"/> Zip <input style="width: 100px;" type="text" value="44481-4604"/> Congressional District, if known: <input style="width: 150px;" type="text" value="OH-013"/>		
5. If Reporting Entity in No.4 is Subawardee, Enter Name and Address of Prime:		
6. * Federal Department/Agency: <input style="width: 400px;" type="text" value="National Park Service"/>	7. * Federal Program Name/Description: <input style="width: 400px;" type="text" value="Save America's Treasures"/> CFDA Number, if applicable: <input style="width: 100px;" type="text" value="15.929"/>	
8. Federal Action Number, if known: <input style="width: 400px;" type="text" value="PS18AS00728"/>	9. Award Amount, if known: \$ <input style="width: 150px;" type="text"/>	
10. a. Name and Address of Lobbying Registrant: Prefix <input style="width: 50px;" type="text"/> * First Name <input style="width: 150px;" type="text" value="HA"/> Middle Name <input style="width: 150px;" type="text"/> * Last Name <input style="width: 150px;" type="text" value="HA"/> Suffix <input style="width: 50px;" type="text"/> * Street 1 <input style="width: 200px;" type="text" value="HA"/> Street 2 <input style="width: 200px;" type="text"/> * City <input style="width: 100px;" type="text" value="HA"/> State <input style="width: 100px;" type="text"/> Zip <input style="width: 50px;" type="text"/>		
b. Individual Performing Services (including address if different from No. 10a) Prefix <input style="width: 50px;" type="text"/> * First Name <input style="width: 150px;" type="text" value="HA"/> Middle Name <input style="width: 150px;" type="text"/> * Last Name <input style="width: 150px;" type="text" value="HA"/> Suffix <input style="width: 50px;" type="text"/> * Street 1 <input style="width: 200px;" type="text" value="HA"/> Street 2 <input style="width: 200px;" type="text"/> * City <input style="width: 100px;" type="text" value="HA"/> State <input style="width: 100px;" type="text"/> Zip <input style="width: 50px;" type="text"/>		
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when the transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.		
* Signature: <input style="width: 250px;" type="text" value="Christopher J Stephenson"/> * Name: Prefix <input style="width: 50px;" type="text"/> * First Name <input style="width: 150px;" type="text" value="Eric"/> Middle Name <input style="width: 150px;" type="text"/> * Last Name <input style="width: 150px;" type="text" value="Cantalamesse"/> Suffix <input style="width: 50px;" type="text"/> Title: <input style="width: 250px;" type="text" value="Director of Public Service and Safety"/> Telephone No.: <input style="width: 100px;" type="text" value="330-841-2601"/> Date: <input style="width: 100px;" type="text" value="11/29/2018"/>		

EXHIBIT 'B'

Page 1 of 3

Grant and Cooperative Agreement				CHOOSE ONE: <input type="checkbox"/> COOPERATIVE AGREEMENT <input checked="" type="checkbox"/> GRANT	
CHOOSE ONE: <input type="checkbox"/> EDUCATION <input type="checkbox"/> FACILITIES <input type="checkbox"/> RESEARCH <input type="checkbox"/> SDCR <input type="checkbox"/> TRAINING					
1. GRANT/COOPERATIVE AGREEMENT NUMBER P19AP00573		2. SUPPLEMENT NUMBER		3. EFFECTIVE DATE 09/13/2019	
4. COMPLETION DATE 09/30/2022		5. ISSUED TO NAME/ADDRESS OF RECIPIENT (No., Street, City/County, State, Zip) WARREN, CITY OF Attn: ATTN GOVERNMENT POC 391 MAHONING AVE NW WARREN OH 44403-4604			
6. ISSUED BY NPS State, Tribal, Local, Plans & Mailing Address: Grants 1049 C Street NW (2256) Washington DC 20240		7. TAXPAYER IDENTIFICATION NO. (TIN)			
8. COMMERCIAL & GOVERNMENT ENTITY (CAGE) NO. 3XYM9		9. PRINCIPAL INVESTIGATOR/ORGANIZATION'S PROJECT OR PROGRAM MGR. (Name & Phone) DIRECTOR OF ENGINEERING, CITY OF WARREN, OH 330-041-2973			
10. RESEARCH, PROJECT OR PROGRAM TITLE 2010 HFF SAT - CITY OF WARREN, OHIO					
11. PURPOSE PERKINS HISTORICAL PROPERTY RESTORATION & IMPROVEMENT PROJECT					
12. PERIOD OF PERFORMANCE (Approximate) 10/01/2019 through 09/30/2022					
13A. PREVIOUS		AWARD HISTORY		13B. PREVIOUS	
THIS ACTION		\$0.00		THIS ACTION	
CASH SHARE		\$500,000.00		TOTAL	
NON-CASH SHARE		\$500,000.00		\$500,000.00	
RECIPIENT SHARE		\$0.00			
TOTAL		\$1,000,000.00		TOTAL	
		-\$500,000.00			
14. ACCOUNTING AND APPROPRIATION DATA 01					
PURCHASE REQUEST NO. 0020190140		JOB ORDER NO.		AMOUNT	
				STATUS	
15. POINTS OF CONTACT					
TECHNICAL OFFICER		NAME		MAIL STOP	
NEGOTIATOR				TELEPHONE	
ADMINISTRATOR				E-MAIL ADDRESS	
PAYMENTS					
16. THIS AWARD IS MADE UNDER THE AUTHORITY OF: P.L. 115-141					
17. APPLICABLE STATEMENT(S), IF CHECKED: <input type="checkbox"/> NO CHANGE IS MADE TO EXISTING PROVISIONS <input checked="" type="checkbox"/> FDP TERMS AND CONDITIONS AND THE AGENCY-SPECIFIC REQUIREMENTS APPLY TO THIS GRANT			18. APPLICABLE ENCLOSURE(S), IF CHECKED: <input type="checkbox"/> PROVISIONS <input checked="" type="checkbox"/> SPECIAL CONDITIONS <input type="checkbox"/> REQUIRED PUBLICATIONS AND REPORTS		
UNITED STATES OF AMERICA			COOPERATIVE AGREEMENT RECIPIENT		
CONTRACTING/GRANT OFFICER Megan Brown		DATE		AUTHORIZED REPRESENTATIVE 	
				DATE 9-24-19	

Director of Public Safety & Service

Grant Agreement

GRANT AGREEMENT NUMBER P19AP00573
Between
THE UNITED STATES DEPARTMENT OF INTERIOR
NATIONAL PARK SERVICE
AND
CITY OF WARREN, OHIO
DUNS No: 010848216

CFDA: 15.929
Grant Program: Save America’s Treasures
Project Title: Perkins Historical Property Restoration
Amount of Federal Funds Obligated: \$500,000
Amount of Non-Federal Funding: \$1,100,000
Total Amount of Federal Award: \$1,600,000
Period of Performance: October 1, 2019 through September 30, 2022

This Grant Agreement is entered into by the U.S. Department of the Interior, National Park Service (NPS), and the City of Warren, Ohio (Recipient).

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ARTICLE I – LEGAL AUTHORITIES

The NPS enters into this Agreement pursuant to:

- National Historic Preservation Act (NHPA), 54 U.S.C 300101 et seq
- Historic Preservation Fund Grants Manual, 2007 and subsequent memos and guidance.
- Continuing Appropriations Act of 2018, P.L. 115-141

ARTICLE II – PROJECT GOALS AND OBJECTIVES

The objective of this Agreement is to provide Historic Preservation Funds (HPF), through the Save America’s Treasures grant program, to protect culturally and historically significant properties by funding the preservation of nationally significant properties for the benefit of future generations.

ARTICLE III – PUBLIC PURPOSE

The Save America’s Treasures Funding Opportunity, enables grantees across the nation to participate in a nationwide historic preservation program and meet the goals of 54 U.S.C. 300101 et seq., commonly known as the National Historic Preservation Act.

ARTICLE IV – STATEMENT OF WORK

A. The Statement of Work to be performed in accordance with the *Secretary of the Interior's Standards and Guidelines for Historic Preservation and Archeology* includes:

1. Preservation/restoration of the Perkins House, contributing building to the Mahoning Avenue Historic District, as listed in the National Register of Historic Places.
2. Contract with consultants to assist in grant funded work (see Appendix A for consultant qualification requirements).
3. Submit copies of previously completed Conditions Assessments and plans and specifications.
4. The use of federal funds to improve public buildings, to finance services or programs contained in public buildings, or alter any building or facility financed in whole or in part with Federal funds (except privately owned residential structures), requires compliance with the 1990 Americans with Disabilities Act (ADA), Section 504 of the Rehabilitation Act of 1973, and the Architectural Barriers Act (ABA). Work done to alter the property should be in compliance with all applicable regulations and guidance.

ARTICLE V – RESPONSIBILITIES OF THE PARTIES

A. The Recipient agrees to:

1. Perform work in accordance with the *Secretary of the Interior's Standards and Guidelines for Historic Preservation and Archeology* as defined by the National Historic Preservation Act.

B. No substantial involvement on the part of the NPS is anticipated for the successful completion of the statement of work detailed in this award. It is anticipated that involvement will be limited to actions related to monitoring project performance, technical assistance at the request of the recipient.

ARTICLE VI – COST-SHARE REQUIREMENT

A dollar-for-dollar cost-share is required for costs incurred under this Agreement. If pre-award costs are authorized, reimbursement of these costs is limited to Federal cost share percentage identified in this agreement.

ARTICLE VII – PRE-AWARD INCURRENCE OF COSTS

The Recipient is not authorized to incur costs prior to the Start date of this Agreement.

ARTICLE VIII – APPROVED INDIRECT RATE

The federally-negotiated indirect rate plus administrative costs to be applied against this agreement, by statute 54 U.S.C. § 302902, of the National Historic Preservation Act (NHPA), shall not exceed 25% of the total budget (federal plus matching share).

The Recipient has chosen to not use a federally approved negotiated indirect cost rate.

ARTICLE IX – TERM OF AGREEMENT

The Agreement will become effective upon the signature of the Awarding Official (Effective Date) and will expire on **September 30, 2022** (Expiration Date), unless terminated earlier per Article XVI. Allowable costs incurred during the period of performance may be charged to the grant. Funds will not be accessible via the payment system 90 days after the end date.

ARTICLE X – KEY OFFICIALS

- A. Key officials are essential to ensure maximum coordination and communications between the parties and the work being performed. They are:

1. **For the NPS:**

Awarding Officer (AO):
Megan J. Brown, Chief
State, Tribal, Local, Plans and Grants

Agreement Technical Representative (ATR):
Grants Management Specialist
State, Tribal, Local, Plans and Grants

Contact Info for AO and ATR:
National Park Service
1849 C Street NW, Stop 7360
Washington, DC 20240
202-354-2020
STLPG@nps.gov
(note mail sent USPS is irradiated, please send via private mail carrier)

2. For the Recipient:

Director of Engineering
City of Warrant, Ohio
391 Mahoning Ave. NW
Warren, OH 44481-4604
330-841-2973

- A. **Communications.** Recipient shall address any communication regarding this Agreement to the ATR with a copy to the AO. Communications that relate solely to technical matters may be sent only to the ATR. The grantee agrees to maintain close liaison with the NPS throughout the grant period. NPS reserves the right to request meetings, upon reasonable notice, with grantee project staff at intervals during the course of project work. The grantee agrees to promptly notify the NPS should any of the following conditions become known to it:
- a. Problems, delays, or adverse conditions that will materially affect the ability of the grantee (or its subcontractors, if any) to attain project objectives, prevent the project from meeting planned timetables, or preclude the completion of approved work;
 - b. The need for adjustment (revision) to the project budget; and
 - c. The lack of non-federal matching share to meet requirements of this Grant Agreement (if applicable).
 - d. The inability of the grantee to expend the awarded funds within the grant period or the ability of the grantee to properly manage the grant funds.
- B. **Changes in Key Officials.** Neither the NPS nor Recipient may make any permanent change in a key official without written notice to the other party reasonably in advance of the proposed change. The notice will include a justification with sufficient detail to permit evaluation of the impact of such a change on the scope of work specified within this Agreement. Any permanent change in key officials will be made only by modification to this Agreement.

ARTICLE XI – AWARD AND PAYMENT

- A. The NPS will provide funding to the Recipient in an amount not to exceed \$500,000 for the Statement of Work described in Article IV and in accordance with the NPS approved budget. The approved budget detail is incorporated herein, Attachment A. Any award beyond the current fiscal year is subject to availability of funds. Acceptance of a Federal financial assistance award from the Department of the Interior carries with it the responsibility to be aware of, and comply with the terms and conditions within this award document. Acceptance is defined as the start of work, drawing down funds, or accepting the award via electronic means.

B. Recipient shall request payment in accordance with the following:

1. **Method of Payment.** Payment will be made by advance and/or reimbursement through the Department of Treasury's Automated Standard Application for Payments (ASAP) system.
2. **Requesting Advances.** Requests for advances must be submitted via the ASAP system. Requests may be submitted as frequently as required to meet the needs of the Financial Assistance (FA) Recipient to disburse funds for the Federal share of project costs. If feasible, each request should be timed so that payment is received on the same day that the funds are dispersed for direct project costs and/or the proportionate share of any allowable indirect costs. If same-day transfers are not feasible, advance payments must be as close to actual disbursements as administratively feasible.
3. **Requesting Reimbursement.** Requests for reimbursements must be submitted via the ASAP system. Requests for reimbursement should coincide with normal billing patterns. Each request must be limited to the amount of disbursements made for the Federal share of direct project costs and the proportionate share of allowable indirect costs incurred during that billing period.
4. **Adjusting Payment Requests for Available Cash.** Funds that are available from repayments to, and interest earned on, a revolving fund, program income, rebates, refunds, contract settlements, audit recoveries, credits, discounts, and interest earned on any of those funds must be disbursed before requesting additional cash payments.
5. **Bank Accounts.** All payments are made through electronic funds transfer to the bank account identified in the ASAP system by the FA Recipient.
6. **Supporting Documents and Agency Approval of Payments.** Additional supporting documentation and prior NPS approval of payments may be required when/if a FA Recipient is determined to be "high risk" or has performance issues. If prior Agency payment approval is in effect for an award, the ASAP system will notify the FA Recipient when they submit a request for payment. The Recipient must then notify the NPS AO that a payment request has been submitted. The NPS AO may request additional information from the Recipient to support the payment request prior to approving the release of funds, as deemed necessary. The FA Recipient is required to comply with these requests. Supporting documents may include invoices, copies of contracts, vendor quotes, and other expenditure explanations that justify the reimbursement requests.

C. In order to receive a financial assistance award and to ensure proper payment, it is required that the Recipient maintain their registration with the System for Award Management (SAM), accessed at <http://www.sam.gov>. Failure to maintain registration can impact obligations and payments under this Agreement and/or any other financial

assistance or procurement documents the Recipient may have with the Federal government.

- D. Any award beyond the current fiscal year is subject to availability of funds; funds may be provided in subsequent fiscal years if project work is satisfactory and funding is available.
- E. **Allowable and Eligible Costs.** Expenses charged against awards under the Agreement may not be incurred prior to the beginning of the Start Date of the Agreement, and may be incurred only as necessary to carry out the approved objectives, scope of work and budget with prior approval from the NPS AO. The Recipient shall not incur costs or obligate funds for any purpose pertaining to the operation of the project, program, or activities beyond the expiration date stipulated in the award.
- F. **Travel Costs.** For travel costs charged against awards under the Agreement, costs incurred must be considered reasonable and otherwise allowable only to the extent such costs do not exceed charges normally allowed by the Recipient in its regular operations as a result of the Recipient's written travel policy. If the Recipient does not have written travel policies established, the Recipient and its contractors shall follow the travel policies in the Federal Travel Regulation, and may not be reimbursed for travel costs that exceed the standard rates. All charges for travel must conform to the applicable cost principles.
- G. **Indirect Costs.** Indirect costs will not be allowable charges against the award unless specifically included as a line item in the approved budget incorporated into the award.
- H. **Recipient Cost Share or Match.** Any non-Federal share, whether in cash or in-kind, is expected to be paid out at the same general rate as the Federal share. Exceptions to this requirement may be granted by the AO based on sufficient documentation demonstrating previously determined plans for or later commitment of cash or in-kind contributions. In any case, the Recipient must meet their cost share commitment over the life of the award.

ARTICLE XII – PRIOR APPROVAL

The Recipient shall obtain prior approval for budget and program revisions, in accordance with 2 CFR 200.308.

ARTICLE XIII – INSURANCE AND LIABILITY

As applicable to Tribes:

- A. **Insurance.** The recipient shall be required to (1) obtain liability insurance or (2) demonstrate present financial resources in an amount determined sufficient by the

Government to cover claims brought by third parties for death, bodily injury, property damage, or other loss resulting from one or more identified activities carried out in connection with this financial assistance agreement.

- B. Insured. The Federal Government shall be named as an additional insured under the recipient's insurance policy.
- C. Indemnification. The recipient hereby agrees to indemnify the Federal government, and the NPS from any act or omission of the Recipient, its officers, employees, or (members, participants, agents, representatives, agents as appropriate), (1) against third party claims for damages arising from one or more identified activities carried out in connection with this financial assistance agreement and (2) for damage or loss to government property resulting from such an activity. This obligation shall survive the termination of this Agreement.

To purchase public and employee liability insurance at its own expense from a responsible company or companies with a minimum limitation of one million dollars (\$1,000,000) per person for anyone claim, and an aggregate limitation of three million dollars (\$3,000,000) for any number of claims arising from any one incident. The policies shall name the United States as an additional insured, shall specify that the insured shall have no right of subrogation against the United States for payments of any premiums or deductibles due thereunder, and shall specify that the insurance shall be assumed by, be for the account of, and be at the insured's sole risk. Prior to beginning the work authorized herein, the Recipient shall provide the NPS with confirmation of such insurance coverage.

To pay the United States the full value for all damage to the lands or other property of the United States caused by the Recipient, its officers, employees, or representatives.

To provide workers' compensation protection to the Recipient, its officers, employees, and representatives.

To cooperate with the NPS in the investigation and defense of any claims that may be filed with the NPS arising out of the activities of the Recipient, its agents, and employees.

In the event of damage to or destruction of the buildings and facilities assigned for the use of the Recipient in whole or in part by any cause whatsoever, nothing herein contained shall be deemed to require the NPS to replace or repair the buildings or facilities. If the NPS determines in writing, after consultation with the Recipient that damage to the buildings or portions thereof renders such buildings unsuitable for continued use by the Recipient, the NPS shall assume sole control over such buildings or portions thereof. If the buildings or facilities rendered unsuitable for use are essential for conducting operations authorized under this Agreement, then failure to substitute and

assign other facilities acceptable to the Recipient will constitute termination of this Agreement by the NPS.

- D. Flow-down: For the purposes of this clause, "recipient" includes such sub-recipients, contractors, or subcontractors as, in the judgment of the recipient and subject to the Government's determination of sufficiency, have sufficient resources and/or maintain adequate and appropriate insurance to achieve the purposes of this clause.

ARTICLE XIV – REPORTS AND/OR OUTPUTS/OUTCOMES

- A. Recipients will report on the funded project, tasks or activities under this agreement by submitting a completed SF-425 Federal Financial Report (FFR), documentation of payment activity in ASAP, and a Performance Report on a semi-annual basis until the grant is closed.
- B. The following reporting period end dates shall be used for interim reports. For final reports the SF-425 and Performance Report, the reporting period end date shall be the end date of the agreement. Interim reports shall be submitted no later than 30 days after the end of each reporting period. Final reports shall be submitted no later than 90 days after the end period date. All reports shall be submitted via email to the NPS ATR.
1. An interim report package shall be submitted semi-annually by the following dates until the completion of the grant, and shall include:
- i. SF-425, Federal Financial Report.
 - ii. Interim Progress Worksheet.
 - iii. SF-428A, Tangible Personal Property Report (due annually)

Period Begin Date	Period End Date	Report Due Date
October 1, 2019	March 31, 2020	April 30, 2020
April 1, 2020	September 30, 2020	October 31, 2020*
October 1, 2020	March 31, 2021	April 30, 2021
April 1, 2021	September 30, 2021	October 31, 2021*
October 1, 2021	March 31, 2022	April 30, 2022

**Annual Tangible Personal Property Report (SF-428A) due.*

2. Documents to be reviewed by NPS as related to the Statement of Work:
- i. Draft documents including text, layout, etc., for any public information releases concerning this award which refer to the Department of the Interior or any bureau or employee (by name or title) as per Article XVII, B(1)a.
 - ii. Draft press release posted upon receipt of the grant funding as per Article XVII, B(1)c.
 - iii. Selected consultant qualifications (prior to signing contract)